

FINAL REPORT

| PATIENT | SPECIMEN INFORMATION | ORDERED BY |
|--|--|---|
| Name: Patient, Test Date of Birth: XX-Mon-19XX Sex: Female Case Number: TN17-XXXXXX Diagnosis: Carcinoma, metastatic, NOS | Primary Tumor Site: Colon, NOS Specimen Site: Inguinal lymph node Specimen ID: ABC-1234-XX Specimen Collected: XX-Mon-2017 Completion of Testing: XX-Mon-2017 | Ordering Physician, MD Cancer Center 123 Main Street Springfield, XY 12345 USA 1 (123) 456-7890 |

BIOMARKER HIGHLIGHTS (SEE PAGE 2 AND APPENDIX FOR MORE DETAILS)

| Biomarker | Method | Result | Biomarker | Method | Result |
|------------------------------------|--------|----------------------------|---|--------|----------------------|
| Lineage Relevant Biomarkers | | | Lineage Relevant Biomarkers (cont) | | |
| KRAS | NGS | Mutation Not Detected | MSH6 | IHC | Positive 2+, 90% |
| NRAS | NGS | Mutation Not Detected | PMS2 | IHC | Negative 0, 100% |
| BRAF | NGS | Mutation Not Detected | PTEN | IHC | Positive 1+, 100% |
| PIK3CA | NGS | Mutation Not Detected | TS | IHC | Positive 1+, 20% |
| Her2/Neu (ERBB2) | NGS | Amplification Not Detected | TOPO1 | IHC | Positive 2+, 90% |
| MSI | FA | High | ERCC1 | IHC | Negative 1+, 5% |
| | NGS | Stable | Other Notable Biomarker Results | | |
| MLH1 | IHC | Negative 0, 100% | Total Mutational Load | | Low 6 Mutations/Mb |
| MSH2 | IHC | Positive 2+, 90% | PD-L1 | IHC | Negative 1+, 2% |

The therapies listed below are FDA-approved, on-NCCN Compendium* for the tested lineage or deemed relevant for this lineage by a panel of internal and external oncology experts. Complete therapy association information and Off-NCCN Compendium therapies are listed on pages (5-7).

| THERAPIES WITH POTENTIAL BENEFIT | |
|---|---------------------------------------|
| cetuximab* , panitumumab* | BRAF, KRAS, NRAS, PIK3CA, PTEN |
| nivolumab* , pembrolizumab* | MLH1, MSI, PMS2 |
| irinotecan | TOPO1 |
| oxaliplatin | ERCC1 |

* Drug/biomarker association(s) supported by the highest level of clinical evidence.

| THERAPIES WITH UNCERTAIN BENEFIT | |
|---|----|
| capecitabine, fluorouracil | TS |

Drugs are placed in the Uncertain benefit category when a result suggests only a decreased likelihood of response (vs. little to no likelihood of response) or if there is insufficient evidence to associate the drug with either benefit or lack of benefit.

Therapies associated with potential benefit or lack of benefit are based on biomarker results and published medical evidence derived from multiple tumor types. The selection of any, all, or none of the matched therapies resides solely with the discretion of the treating physician. Decisions on patient care and treatment must be based on the independent medical judgment of the treating physician, taking into consideration all available information concerning the patient's condition in accordance with the applicable standard of care.

BIOMARKER RESULTS

This summary includes biomarkers most commonly associated with cancer. Complete details of all biomarkers tested can be found in the Appendix.

TOTAL MUTATIONAL LOAD

Mutations / Megabase: 6 Result: Low

MICROSATELLITE INSTABILITY (MSI) BY FRAGMENT ANALYSIS

MSI by Fragment analysis Result: High

MICROSATELLITE INSTABILITY (MSI) BY NEXT-GENERATION SEQUENCING

MSI by NGS Result: Stable

IMMUNOHISTOCHEMISTRY (IHC)

| Biomarker | Result | Biomarker | Result | Biomarker | Result |
|-----------|--------------------|-----------|--------------------|-----------|---------------------|
| ERCC1 | Negative 1+, 5% | MSH6 | Positive 2+, 90% | PTEN | Positive 1+, 100% |
| MLH1 | Negative 0, 100% | PD-L1 | Negative 1+, 2% | TOPO1 | Positive 2+, 90% |
| MSH2 | Positive 2+, 90% | PMS2 | Negative 0, 100% | TS | Positive 1+, 20% |

GENES TESTED WITH INDETERMINATE* SEQUENCING RESULTS BY NGS

| | | | | | | | | | | | |
|------|-------|---------|--|--|--|--|--|--|--|--|--|
| ATRX | KMT2C | SMARCE1 | | | | | | | | | |
|------|-------|---------|--|--|--|--|--|--|--|--|--|

* Genes in this table were ruled indeterminate due to low coverage for some or all exons. Please see Appendix for a complete list of indeterminate genes.

GENES TESTED WITHOUT POINT MUTATIONS OR INDELS BY NGS

| | | | | | | | | | | | |
|---------|---------|-------|--------|--------|--------------|---------|--------|-------|------------------|--------|-------|
| ABL1 | AKT1 | ALK | AMER1 | APC | AR | ARAF | ARID2 | ATM | BAP1 | BMPR1A | BRAF |
| BRCA1 | BRCA2 | c-KIT | CDC73 | CDH1 | CDK4 | CDKN1B | CDKN2A | CHEK1 | CHEK2 | CIC | cMET |
| CSF1R | CTNNB1 | DDR2 | EGFR | ERBB3 | ERBB4 | ESR1 | FBXW7 | FGFR1 | FGFR2 | FGFR3 | FGFR4 |
| FH | FLCN | FLT3 | FOXL2 | FUBP1 | GATA3 | GNA11 | GNAQ | GNAS | Her2/Neu (ERBB2) | HNF1A | HRAS |
| IDH1 | JAK2 | JAK3 | KDM5C | KDM6A | KDR (VEGFR2) | KMT2A | KMT2D | KRAS | MAX | MEK1 | MEK2 |
| MEN1 | MITF | MLH1 | MPL | MSH2 | MSH6 | MTOR | MUTYH | NF2 | NOTCH1 | NPM1 | NRAS |
| NTRK1 | PALB2 | PBRM1 | PDGFRA | PDGFRB | PHOX2B | PIK3CA | PIK3R1 | PMS2 | POLE | POT1 | PPARG |
| PPP2R1A | PRKAR1A | PTCH1 | PTEN | PTPN11 | RAF1 | RB1 | RET | RNF43 | ROS1 | SDHAF2 | SDHB |
| SDHC | SDHD | SETD2 | SF3B1 | SMAD4 | SMARCA4 | SMARCB1 | SMO | SPOP | SRC | STK11 | SUFU |
| TERT | TP53 | TSC1 | TSC2 | VHL | WT1 | | | | | | |

Additional results continued on the next page. >

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GENES TESTED WITHOUT COPY NUMBER VARIATIONS (AMPLIFICATIONS) BY NGS

| | | | | | | | | | | | |
|---------------------|-----------------|--------|-------|-------|-------|-------|--------|-------|-------|--------|-------|
| AKT2 | ALK | ARID1A | AURKB | CCND1 | CCND3 | CCNE1 | CDK4 | CDK6 | CDK8 | CDKN2A | cMET |
| CREBBP | CRKL | EGFR | EP300 | EZH2 | FGF10 | FGF3 | FGF4 | FGFR1 | FGFR2 | FGFR3 | GATA3 |
| Her2/Neu (ERBB2) | KDR (VEGFR2) | MCL1 | MDM2 | MEK1 | MYC | NF2 | NFKBIA | NTRK1 | RB1 | RICTOR | ROS1 |
| TOP1 | WT1 | | | | | | | | | | |

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NOTES OF SIGNIFICANCE

SEE APPENDIX FOR FULL DETAILS

Clinical Trials Connector™ opportunities based on biomarker expression: 144 Chemotherapy Trials | 109 Targeted Therapy Trials. See page 8 for details.

SPECIMEN INFORMATION

Specimen ID: ABC-1234-XX

Specimen Collected: XX-Mon-2017

Specimen Received: XX-Mon-2017

Testing Initiated: XX-Mon-2017

Gross description: 1 (A) Paraffin Block - Client ID (ABC-123-XY) from XYZ Medical Center, Springfield, XY, with the corresponding cytology report labeled "ABC-123-XY".

Pathologic Diagnosis: Left inguinal lymph node needle biopsy: Metastatic carcinoma.

Dissection Information: Molecular testing of this specimen was performed after harvesting of targeted tissues with an approved manual microdissection technique. Candidate slides were examined under a microscope and areas containing tumor cells (and separately normal cells, when necessary for testing) were circled. A laboratory technician harvested targeted tissues for extraction from the marked areas using a dissection microscope. The areas marked and extracted were microscopically reexamined on post-microdissected slides and adequacy of microdissection was verified by a board certified Pathologist.

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THERAPIES WITH **POTENTIAL BENEFIT**

Refer to the Appendix for detailed Result and Value information for each biomarker, including appropriate cutoffs, unit of measure, etc.

| Therapies | Test | Method | Result | Value | Drug Association Details | | |
|---|---------------|--------|-----------------------|---------|---------------------------------------|----------------------------|---|
| | | | | | Does Result Support Drug Association? | Highest Level of Evidence* | Reference |
| FDA-APPROVED/ON-NCCN COMPENDIUM® | | | | | | | |
| <u>cetuximab*</u>, <u>panitumumab*</u> | <u>BRAF</u> | NGS | Mutation Not Detected | - | Yes | I / Good | 4 [#] , 5 [#] , 6 [#] , 7 [#] , 8 [#] , 9 [#] , 10 [#] |
| | <u>KRAS</u> | NGS | Mutation Not Detected | - | Yes | I / Good | 11 [#] , 12 [#] , 13 [#] , 14 [#] , 15 [#] , 16 [#] , 17 [#] , 18 [#] , 19 [#] |
| | <u>NRAS</u> | NGS | Mutation Not Detected | - | Yes | I / Good | 6 [#] , 12 [#] , 20 [#] |
| | <u>PIK3CA</u> | NGS | Mutation Not Detected | - | Yes | I / Good | 6 [#] , 8 [#] , 22 [#] , 24 [#] |
| | <u>PTEN</u> | IHC | Positive | 1+ 100% | Yes | II-2 / Good | 8 [#] , 21 [#] , 22 [#] , 23 [#] |
| <u>nivolumab*</u>, <u>pembrolizumab*</u> | <u>MLH1</u> | IHC | Negative | 0+ 100% | Yes | I / Good | 5 [#] , 28 [#] , 29 [#] , 30 |
| | <u>MSH2</u> | IHC | Positive | 2+ 90% | No | - | - |
| | <u>MSH6</u> | IHC | Positive | 2+ 90% | No | - | - |
| | <u>MSI</u> | FA | High | High | Yes | I / Good | 5 [#] , 28 [#] , 29 [#] , 30 |
| | <u>MSI</u> | NGS | Stable | Stable | No | - | - |
| | <u>PMS2</u> | IHC | Negative | 0+ 100% | Yes | I / Good | 5 [#] , 28 [#] , 29 [#] , 30 |
| <u>irinotecan</u> | <u>TOPO1</u> | IHC | Positive | 2+ 90% | Yes | II-1 / Good | 25 [#] , 26 [#] , 27 [#] |

Additional Therapies with Potential Benefit continued on the next page. >

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| Therapies | Test | Method | Result | Value | Drug Association Details | | |
|---|--------------|--------|-----------------------|-------|---------------------------------------|----------------------------|-----------------------------------|
| | | | | | Does Result Support Drug Association? | Highest Level of Evidence* | Reference |
| FDA-APPROVED/ON-NCCN COMPENDIUM® | | | | | | | |
| <u>oxaliplatin</u> | <u>ATM</u> | NGS | Mutation Not Detected | - | No | - | - |
| | <u>BRCA1</u> | NGS | Mutation Not Detected | - | No | - | - |
| | <u>BRCA2</u> | NGS | Mutation Not Detected | - | No | - | - |
| | <u>ERCC1</u> | IHC | Negative | 1+ 5% | Yes | II-2 / Good | 31 [#] , 32 [#] |

★ Drug/biomarker association(s) supported by the highest level of clinical evidence.

* The level of evidence for all references is assigned according to the Literature Level of Evidence Framework consistent with the US Preventive Services Task Force described further in the Appendix of this report. The data level of each biomarker-drug interaction is the highest level of evidence based on the body of evidence, overall clinical utility, competing biomarker interactions and tumor type from which the evidence was gathered.

Evidence reference includes data from the same lineage as the tested specimen.

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Drugs are placed in the Uncertain benefit category when a result suggests only a decreased likelihood of response (vs. little to no likelihood of response) or if there is insufficient evidence to associate the drug with either benefit or lack of benefit. Refer to the Appendix for detailed Result and Value information for each biomarker, including appropriate cutoffs, unit of measure, etc.

| Therapies | Test | Method | Result | Value | Drug Association Details | | |
|--|--------------------|--------|----------|--------|---------------------------------------|----------------------------|-----------|
| | | | | | Does Result Support Drug Association? | Highest Level of Evidence* | Reference |
| FDA-APPROVED/ON-NCCN COMPENDIUM® | | | | | | | |
| capecitabine, fluorouracil | TS | IHC | Positive | 1+ 20% | Yes | II-1 / Good | 1, 2, 3 |

* The level of evidence for all references is assigned according to the Literature Level of Evidence Framework consistent with the US Preventive Services Task Force described further in the Appendix of this report. The data level of each biomarker-drug interaction is the highest level of evidence based on the body of evidence, overall clinical utility, competing biomarker interactions and tumor type from which the evidence was gathered.

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CLINICAL TRIALS CONNECTOR™

For a complete list of open, enrolling clinical trials visit MI Portal to access the [Clinical Trials Connector](#). This personalized, real-time web-based service provides additional clinical trial information and enhanced searching capabilities, including, but not limited to:

- Location: filter by geographic area
- Biomarker(s): identify specific biomarkers associated with open clinical trials to choose from
- Drug(s): search for specific therapies
- Trial Sponsor: locate trials based on the organization supporting the trial(s)

Visit www.CarisMolecularIntelligence.com to view all matched trials.

| CHEMOTHERAPY CLINICAL TRIALS (144) | | | |
|------------------------------------|-----------|--------|-------------------------------------|
| Drug Class | Biomarker | Method | Investigational Agent(s) |
| Platinum compounds (89) | ERCC1 | IHC | carboplatin, cisplatin, oxaliplatin |
| TOPO1 inhibitors (55) | TOPO1 | IHC | irinotecan |

| TARGETED THERAPY CLINICAL TRIALS (109) | | | |
|--|-----------|-------------------|---|
| Drug Class | Biomarker | Method | Investigational Agent(s) |
| Immunomodulatory agents (108) | MLH1 | IHC | MEDI4736, MK-3475, MPDL3280A, MSB0010718C, atezolizumab, avelumab, durvalumab, nivolumab, pembrolizumab |
| | MSI | Fragment Analysis | |
| MDM2 inhibitors (1) | TP53 | NGS | DS-3032 |

() = represents the total number of clinical trials identified by the Clinical Trials Connector for the provided drug class or table.

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